

DEPARTMENT OF TRANSPORTATION
PROFESSIONAL SERVICES MANAGEMENT UNIT
REQUEST FOR LETTERS OF INTEREST

THE NORTH CAROLINA DEPARTMENT OF TRANSPORTATION DESIRES TO ENGAGE PRIVATE CONSULTING FIRMS FOR THE PURPOSE OF CONDUCTING ENVIRONMENTAL SITE ASSESSMENTS, CORRECTIVE ACTION PLANNING AND REMEDIAL ACTIONS AT ASPHALTIC MATERIALS TESTING LABORATORIES, NCDOT FACILITIES AND RIGHT OF WAYS ACROSS THE STATE WHERE THE PRIMARY SOURCE OF CONTAMINATION ARE CHLORINATED SOLVENTS. SERVICE MAY ALSO INCLUDE ASSISTING THE DEPARTMENT WITH A WIDE VARIETY OF OTHER ENVIRONMENTAL PROGRAMS.

The primary and/or subconsultant firm(s) shall be Pre-qualified to perform services for the Geotechnical Engineering Unit to perform the below referenced work. The work code required is:

- **00330 – Hazardous Waste Site Analysis and Remediation.**

GEOENVIRONMENTAL SERVICES - STATEWIDE

The selected firms will work on a state-wide basis and are to provide all labor, equipment and materials necessary to perform the required work at designated sites. Work will be identified for each project on an as needed basis. The duration of the contracts will be for three (3) years with an optional one (1) year extension or until the contract amount is depleted. The method of payment for the contracts will be COST PLUS FIXED FEE OR LUMP SUM (determined on a project specific basis).

The Engineer/Geologist performing the work and in responsible charge of the work must be a registered Professional Engineer or Licensed Geologist in the State of North Carolina and must have good ethical and professional standing. Any firm wishing to be considered must be properly registered with the Office of the Secretary of State and with the North Carolina Board of Registration for Professional Engineers and Land Surveyors and/or the NC Board for Licensing of Geologists. Any firm proposing to use corporate subsidiaries or subcontractors must include a statement that these companies are properly registered with the NC Board of Registration for Professional Engineers and Land Surveyors and/or the NC Board for Licensing of Geologists. It will be the responsibility of the prime firm to verify the registration of any corporate subsidiary or subcontractor prior to submitting a Letter of Interest. The firm must have the financial ability to undertake the work and assume the liability. The selected firms shall furnish proof of Professional Liability insurance coverage in the minimum amount of \$1,000,000 to the Professional Services Management Unit. The firms must have an adequate accounting system to identify costs chargeable to the project.

SMALL PROFESSIONAL SERVICE FIRM (SPSF) PARTICIPATION

The Department encourages the use of Small Professional Services Firms (SPSF). Small businesses determined to be eligible for participation in the SPSF program are those meeting

size standards defined by Small Business Administration (SBA) regulations, 13 CFR Part 121 in Sector 54 under the North American Industrial Classification System (NAICS). The SPSF program is a race, ethnicity, and gender neutral program designed to increase the availability of contracting opportunities for small businesses on federal, state or locally funded contracts. SPSF participation is not contingent upon the funding source.

The Firm, at the time the Letter of Interest is submitted, shall submit a listing of all known SPSF firms that will participate in the performance of the identified work. The participation shall be submitted on the Department's Subconsultant Form RS-2. RS-2 forms may be accessed on the website at <https://apps.dot.state.nc.us/quickfind/forms/Default.aspx>.

The SPSF must be qualified with the Department to perform the work for which they are listed.

Real-time information about firms doing business with the Department and firms that are SPSF certified through the Contractual Services Unit is available in the Directory of Transportation Firms. The Directory can be accessed by the link on the Department's homepage or by entering <https://apps.dot.state.nc.us/vendor/directory/> in the address bar of your web browser.

The listing of an individual firm in the Department's directory shall not be construed as an endorsement of the firm.

PREQUALIFICATION

The Department maintains on file the qualifications and key personnel for each approved discipline, as well as any required samples of work. Each year on the anniversary date of the company, the firm shall renew their prequalified disciplines. If your firm has not renewed its application as required by your anniversary date or if your firm is not currently prequalified, please submit an application to the Department **prior** to submittal of your letter of interest. An application may be accessed at <https://apps03.dot.state.nc.us/vendor/prequal>. Having this data on file with the Department eliminates the need to resubmit this data with each letter of interest.

Even though specific DBE/MBE/WBE goals are not required for this project, the Department of Transportation is committed to providing opportunity for small and disadvantaged businesses to perform on its contracts through established Department goals. The Firm, subconsultant and subfirm shall not discriminate on the basis of race, religion, color, national origin, age, disability or sex in the performance of this contract.

EVALUATION

The evaluation of firms submitting letters of interest for this work will be based on the following considerations and their respective weights:

1. The evaluation of the performance on any work previously reviewed by the North Carolina Department of Transportation. 50%
2. The firm's experience, staff and location(s) to perform the type of work required, to include any designated subconsultant(s). 50%

North Carolina firms qualified to do the required work will be given priority consideration. A North Carolina firm is a firm that maintains an office in North Carolina staffed with an adequate number of employees judged by the Department to be capable of performing a majority of the work required.

After reviewing qualifications, if firms are equal on the evaluation review, then those qualified firms with proposed SPSF participation will be given priority consideration.

FORMAT FOR SUBMISSION OF A PROFESSIONAL SERVICES MANAGEMENT UNIT LETTER OF INTEREST

All letters of interest are limited to fifteen (15) pages (RS-2 forms are not included in the page count) inclusive of the cover sheet, and shall be typed on 8 1/2" x 11" sheets, single spaced, one sided. **ONLY ELECTRONIC LETTERS OF INTEREST WILL BE ACCEPTED.** Letters of interest containing more than fifteen (15) pages will not be considered.

Letters of Interest should be submitted in .pdf format using software such as Adobe, CutePDF, PDF Writer, Docudesk, deskPDF, etc.

One copy of the Letter of Interest should be sent through NCDOT's FTS system **as a .pdf file: psmu-411@ncdot.gov**. The FTS system will send you an electronic receipt when your LOI is downloaded to PSMU's server. **Paper copies are not required.** The subject line should contain the PEF's Name, and "LOI for 2013 Roadside Environmental LSA".

If an interested firm does not have an FTS account they should send a request through e-mail to psmu-411@ncdot.gov. A response will be sent via the FTS system that will provide a login username, password, and login procedures.

Section I — Cover/Introductory Letter

The introductory letter should be addressed to Mr. Scott Blevins, PE, Manager – Professional Services Management Unit. Said letter is limited to two (2) pages and should contain the following elements of information:

- Expression of firm's interest in the work;
- Statement of whether firm is on register;
- Date of most recent private engineering firm qualification;

- Statement regarding firm's possible conflict of interest for this/these project(s); to include if firm, subsidiary or acquisitions conducted ASTM construction material testing at North Carolina asphalt sites prior to 1995, and/or if firm, subsidiary or acquisitions conducted emission stack testing per EPA Title 40 CFR Chapter 1 Part 60, Appendix A, Method 5a; and
- Summation of information contained in the letter of interest **including an email address and telephone number for the firm's contact person.**

Section II — Evaluation Factors

This section is limited to seven (7) pages and should contain information regarding evaluation and other factors listed in the advertisement such as:

- Identify project personnel/subconsultants qualifications and experience as related to this/these project(s);
- Unique qualifications of key team members;
- Identify type and location of similar work performed within last three (3) years;
- Understanding of project approach; and
- Any innovative approaches to be used;

Note: If a project team or subconsultant encounters personnel changes, or any other changes of significance dealing with the company, NCDOT should be notified immediately.

Section III — Supportive Information

This section is limited to six (6) pages and should contain the following information:

- Capacity chart/graph (available manpower);
- Organizational chart indicating personnel to be assigned by discipline;
- Resumes of key personnel;
- Names, classification, and location of the firm's North Carolina employees to be assigned to the advertised project(s); and
- Other information.

APPENDICES-

CONSULTANT CERTIFICATION Form RS-2

Completed Form RS-2 forms SHALL be submitted with the firm's letter of interest.

This section is limited to the number of pages required to provide the requested information.

Submit Form RS-2 forms for the following:

- Prime Consultant firm (Prime Consultant Form RS-2 Rev 1/14/08), and;

- ANY/ALL subconsultant firms (Subconsultant Form RS-2 Rev 1/15/08) to be or anticipated to be utilized by your firm.

Complete and **sign** each Form RS-2 (instructions are listed on the form).

In the event the firm has no subconsultant, it is required that this be indicated on the Subconsultant Form RS-2 by entering the word “None” or the number “ZERO” and *signing* the form.

The required forms are available at:

<https://apps.dot.state.nc.us/quickfind/forms/Default.aspx>.

Private consulting firms are invited to have letters of interest for furnishing environmental site assessment services **FTS-ed** to the Professional Services Management Unit by **12:00 p.m. on September 24, 2013. Letters of interest received after this deadline will not be considered.**

Firms submitting letters of interest are encouraged to carefully check them for conformance to the requirements stated above. If letters of interest do not meet ALL of these requirements or if they are sent by any other means other than NCDOT’s FTS system, or to any address other than psmu-411@ncdot.gov they will be disqualified. No exception will be granted.

The e-mail address is:

psmu-411@ncdot.gov

Any questions concerning the advertisement or scope of work should be directed to Scott Blevins, PE, at sblevins@ncdot.gov or by telephone at 919-707-7132.

If you feel information provided is inadequate to submit a letter of interest, please contact Mr. Blevins.

The firm(s) selected will be notified by **October 15, 2013**. Notification will not be sent to firms not selected.

The firm(s) selected will be listed on the Internet at

<https://connect.ncdot.gov/letting/Pages/Private-Engineering-Firm-Advertisements-.aspx> by **October 16, 2013.**